

**ORIGINAL ARTICLE**

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**Comparison of higher-order aberrations**

**Wavefront-guided versus  
standard laser *in situ*  
keratomileusis in low to  
moderate myopia**

**ABSTRACT**

**Objective**

To compare the pre- and postoperative changes in higher-order aberrations after standard LASIK (PlanoScan, Bausch & Lomb) and wavefront-guided LASIK (Zyoptix, Bausch & Lomb) and determine their effects on visual acuity, contrast sensitivity, and refractive outcomes at one year postoperatively.

**Methods**

In a prospective, randomized clinical trial, 15 patients with low to moderate myopia had standard LASIK on one eye and wavefront-guided LASIK on the contralateral eye. A Hartmann-Shack aberrometer (Zywave, Bausch & Lomb) was used to measure the aberrations. Root-mean-square (RMS) values were determined. Uncorrected visual acuity (UCVA), best corrected visual acuity (BCVA), refractive errors, and contrast sensitivity were also measured.

**Results**

Thirteen (87%) of the 15 eyes treated with Zyoptix and 12 (80%) of the 15 treated with PlanoScan had UCVA of 20/20 at one year postoperatively. The mean difference in the pre- and postoperative contrast sensitivity showed no significant changes in all spatial frequencies in both groups ( $p > 0.05$ ). The difference in attempted versus achieved refraction was not significant between the two groups ( $p = 0.794$ ). In all eyes, the total RMS increased postoperatively ( $p < 0.001$ ), but the mean RMS difference from the preoperative values between the two groups was not statistically significant ( $p = 0.257$ ).

**Conclusion**

LASIK in low to moderate myopia increases overall high-order aberrations. Zyoptix LASIK offers no advantage over PlanoScan LASIK in decreasing high-order aberrations postoperatively and in achieving better visual and refractive outcomes.

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ADVANCES in refractive surgery have given rise to sophisticated techniques for correcting visual errors, hoping not only to correct spherocylindrical refractive errors (low-order aberrations), but also to decrease a spectrum of higher-order aberrations and achieve optimum quality of vision.

In wavefront-guided LASIK, these aberrations are measured with a wavefront analyzer to establish an ablation pattern and correct them through a scanning-spot excimer laser. This procedure aims to reduce the amount of existing aberrations after corneal refractive surgery. But evidence also shows that refractive procedures may increase naturally occurring higher-order aberrations because of the creation of a corneal flap and the effects of variable healing patterns unique to each eye, which cannot be predicted before operation.<sup>1</sup> These may account for reported cases of "glares and haloes," decreased contrast sensitivity, and irregular astigmatism, resulting in deterioration in the quality of vision even in customized ablation.<sup>2</sup> Thus, it is important to determine whether wavefront-guided LASIK (Zyoptix, Bausch & Lomb, Hiedelberg, Germany) is better than standard LASIK (PlanoScan, Bausch & Lomb, Rochester, NY, USA) in achieving visual outcome and significantly decreasing the overall higher-order aberrations.

Using the root-mean-square (RMS) wavefront error, this study compared the changes in higher-order aberrations before and after standard LASIK and wavefront-guided LASIK and correlated them clinically in terms of their effect on visual acuity, contrast sensitivity, and refractive outcomes after one year.

## METHODOLOGY

This is a randomized clinical trial involving 30 eyes of 15 patients with low to moderate myopia and astigmatism who agreed to have standard LASIK on one eye and wavefront-guided LASIK on the contralateral eye. The study was approved by the Ethics Committee of the St. Luke's Medical Center. All patients submitted written informed consent in accordance with the Declaration of Helsinki. All were able to complete at least one year of follow-up (November 2001 to January 2003).

All patients underwent a complete ophthalmic examination. Their uncorrected visual acuity (UCVA) and best-corrected visual acuity (BCVA) were determined using the Early Treatment Diabetic Retinopathy Study (ETDRS) chart (Lighthouse Vision Products, Long Island, NY, USA).

Manifest and cycloplegic refractions, corneal topography and pachymetry (Orbscan II v. 3.10.31 Bausch & Lomb, Rochester, NY, USA), determination of wavefront aberration (Zywave v. 3.21 Bausch & Lomb, Rochester, NY, USA), contrast sensitivity testing, scotopic pupil size determination, slit-lamp evaluation of the anterior segment, applanation tonometry, and dilated fundus examination

were also performed. Functional Acuity Contrast Test (FACT, Vision Sciences Research Corporation, San Ramon, CA, USA) was used to determine contrast sensitivity using five spatial frequencies (1.5, 3.0, 6, 12, and 18 cycles per degree) with 9 sequences per frequency. All patients had best corrected visual acuity of 20/25 or better, no ocular abnormalities other than refractive errors, no collagen/autoimmune diseases, no previous ocular surgeries or history of trauma.

Postsurgical emmetropia was intended in all cases. UCVA, BCVA, refraction, contrast sensitivity testing, and slit-lamp examination were performed 1 and 7 days; 1, 3, 6 months; and one year postoperatively. Wavefront analysis using the Hartmann Shack-based Zywave aberrometer was performed at least one year postoperatively with eyes dilated with phenylephrine 2.5% (Mydfrin, Alcon Laboratories, Fort Worth, TX, USA). The results were then evaluated using a CT-view (version 3.17, Sarver and Associates) software program for RMS comparison. To minimize technical error, the average of 3 wavefront measurements using a standard pupil size of 6.0 mm was used for data analysis.

## Calculation of the Wavefront-Guided Ablation

Wavefront aberrations are measured, defined, and quantified in terms of Zernike polynomials. Up to 20 coefficients are measured showing the lower-order aberrations of the first- and second-order and the higher-order aberrations of the third to the fifth order.<sup>3</sup> The RMS wavefront error is used to quantify the irregularity of the wavefront. It is expressed as the square root of the squared mean deviation of the higher-order aberrations.<sup>4</sup> The higher the RMS value, the greater are the wavefront aberrations.

Three consecutive Zywave measurements were taken with and without pupil dilation under standardized conditions. A single drop of phenylephrine 2.5% was used to dilate each eye instilled twice at 5 minutes interval. Thirty minutes after the second drop, the 3 measurements were repeated. The Zylink (v. 2.3, Bausch & Lomb, Rochester, NY, USA) software for the Zyoptix wavefront-guided LASIK combines the measurements of the Orbscan II and the Zywave aberrometer into a program that calculates the treatment profile of each patient. A single technician performed all Zywave and Orbscan II procedures.

## Surgical Technique

Standardized and uniform LASIK procedures were performed on all eyes at the Vision Laser Center, St Luke's Medical Center by three coinvestigators (RLBS, WLV, JMS) in this study. After instillation of the topical anesthetic proparacaine HCl (Alcaine, Alcon Laboratories), a superior hinge flap with a diameter of 8.5/9.5mm and a thickness of 160/180  $\mu$ m was created using a Hansatome

microkeratome (Bausch & Lomb, Hiedelberg, Germany). The 193nm 217z Technolas scanning-spot excimer laser (Bausch & Lomb, Rochester, NY, USA) system with a combined 2.0mm and 1.0mm spot was used in the Zyoptix group, and only a 2.0mm spot in the PlanoScan group. The PlanoScan and Zyoptix software programs dictated the ablation patterns for the standard and wavefront-guided treatments, respectively. The mean treatment zones were  $6.47 \pm 0.48$  mm and  $6.33 \pm 0.33$  mm for the PlanoScan group and Zyoptix group, respectively. After the photoablation, the corneal flap was repositioned and the interface irrigated with a balanced salt solution. Postoperatively, patient used tobramycin 0.3% + dexamethasone 0.1% (Tobradex, Alcon Laboratories, Fort Worth, TX, USA) QID for 1 week and artificial tears as needed. No intraoperative or postoperative complications were encountered.

#### Statistical Analysis

The RMS and spherical equivalents between groups were compared using independent *t*-test. Paired *t*-test was used to compare pre- and postoperative findings in each parameter. Contrast sensitivity results were analyzed using the

Marginal Homogeneity Test. Other nonparametric data were compared using the chi-square test.  $\alpha$  was set at 0.05.

## RESULTS

### Visual Outcomes

Thirteen (87%) of 15 eyes treated with Zyoptix and 12 (80%) of 15 eyes treated with PlanoScan had UCVA of 20/20 at one year postop (Figure 1). The mean difference in the pre- and postoperative contrast sensitivity showed no significant change in all spatial frequencies in both groups (Marginal Homogeneity Test,  $p < 0.05$ ).

### Refractive Outcomes

The mean preoperative spherical equivalent refraction of the Zyoptix group was  $-3.99 \pm 1.30$  D with a range of -1.75 to -6.50 D. This was not statistically different ( $p = 0.638$ ) from the PlanoScan group, with a mean preoperative spherical equivalent refraction of  $-3.77 \pm 1.28$  D (range -1.88 to -6.62 D).

In the Zyoptix group, only 1 eye was undercorrected by more than 0.50 D. Four eyes (27%) were overcorrected within 0.75 D. In the PlanoScan group, 2 (13%) eyes were

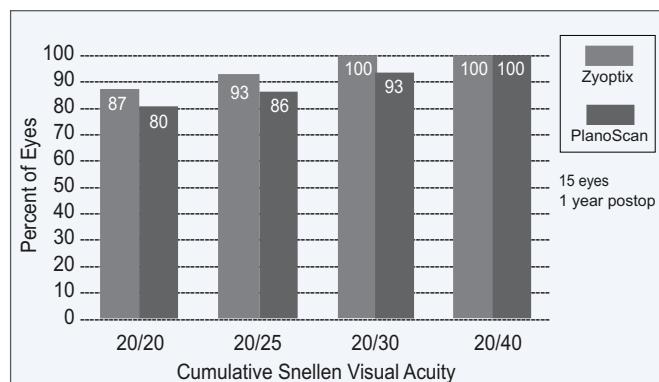


Figure 1. Uncorrected visual acuity at 1 year

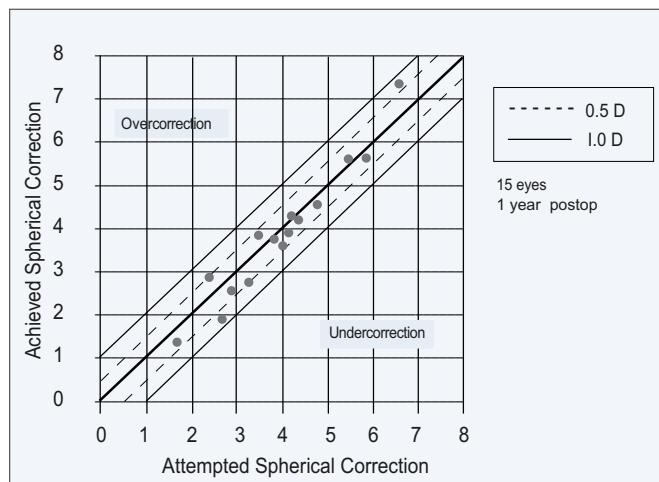


Figure 2. Attempted v. achieved refractive results 1 year after Zyoptix

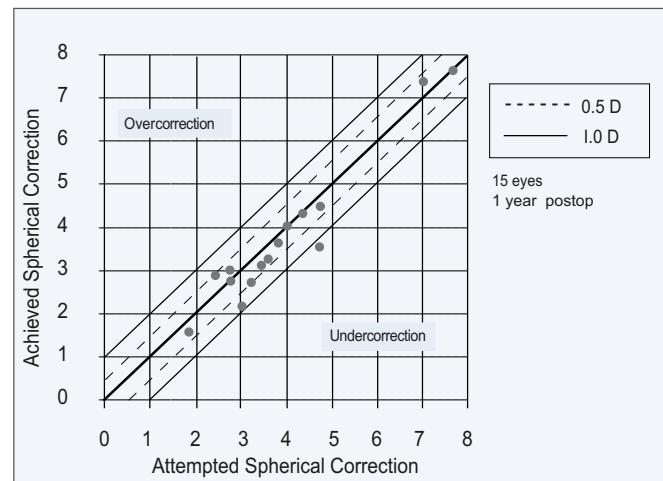


Figure 3. Attempted v. achieved refractive results 1 year after PlanoScan

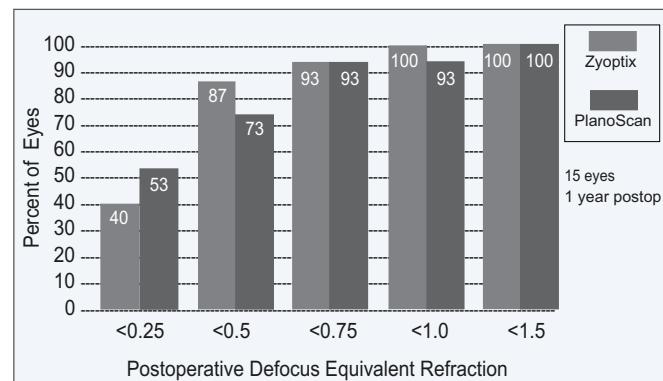


Figure 4. Postoperative defocus equivalent refraction at 1 year

Table 1. Average root mean square values in 30 eyes pre- and post-LASIK

Zernike Coefficient ( m )	PlanoScan			Zyoptix		
	Pre	Post	p	Pre	Post	p
<i>3<sup>rd</sup> order</i>						
$Z_3^{-3}$ (trefoil w/ base on x-axis)	0.527808	0.601682	0.674	0.598078	0.695976	0.698
$Z_3^{-1}$ (coma along x-axis)	0.642042	1.225225	0.044	0.405405	1.263784	0.002
$Z_3^1$ (coma along y-axis)	0.459700	0.560000	0.358	0.442763	0.881802	0.059
$Z_3^3$ (trefoil w/ base on y-axis)	0.383664	0.487447	0.462	0.323003	0.655495	0.008
<i>4<sup>th</sup> order</i>						
$Z_4^{-4}$ (quadrafoil)	0.240000	0.486366	0.003	0.146667	0.513634	0.004
$Z_4^{-2}$ (2 <sup>nd</sup> astigmatism on y-axis)	0.243483	0.486366	0.011	0.201922	0.513634	0.020
$Z_4^0$ (spherical aberration)	0.823423	2.426306	<0.001	0.679399	2.732973	<0.001
$Z_4^2$ (2 <sup>nd</sup> astigmatism on x-axis)	0.375255	0.478198	0.342	0.400841	0.439520	0.756
$Z_4^4$ (quadrafoil)	0.212492	0.387868	0.107	0.266186	0.436877	0.137
<i>5<sup>th</sup> order</i>						
$Z_5^{-5}$ (pentafoil)	0.243243	0.311832	0.175	0.183904	0.332132	0.211
$Z_5^{-3}$ (2 <sup>nd</sup> trefoil)	0.165285	0.188228	0.573	0.132973	0.266787	0.173
$Z_5^{-1}$ (2 <sup>nd</sup> coma)	0.248769	0.237718	0.846	0.181622	0.280841	0.136
$Z_5^1$ (2 <sup>nd</sup> coma)	0.069189	0.170330	0.043	0.093574	0.140661	0.170
$Z_5^3$ (2 <sup>nd</sup> trefoil)	0.107628	0.180541	0.064	0.133934	0.219099	0.047
$Z_5^5$ (pentafoil)	0.080120	0.265586	0.002	0.113754	0.318559	0.119

undercorrected by more than 0.50 D, 3 (20%) were overcorrected within 0.50 D. In the Zyoptix group, the average difference between the attempted and achieved correction was  $0.33 \pm 0.24$  D (Figure 2), which was not statistically significant ( $p = 0.794$ ) compared with the average difference of  $0.31 \pm 0.30$  D in the PlanoScan group (Figure 3).

In the Zyoptix group, 13 (87%) of 15 eyes treated had a postoperative defocus equivalent refraction (the sum of the absolute value of the sphere and one-half the absolute value of the cylinder<sup>5</sup>) within  $\pm 0.50$  D of the target refraction and 15 (100%) eyes were within  $\pm 1.0$  D. In the PlanoScan group, 11 (73%) of 15 eyes treated had a postoperative defocus equivalent within  $\pm 0.50$  D of the target refraction and all but 1 were within  $\pm 1.0$  D (Figure 4).

### Higher-Order Aberrations

Higher-order aberrations (RMS) significantly increased postoperatively in both the PlanoScan and Zyoptix groups compared with preoperative RMS values ( $p < 0.001$ ). However, no significant difference in the postoperative total RMS ( $p = 0.257$ ) was noted between the two groups (Table 1).

An increase in postoperative wavefront error is seen as a trend in all Zernike modes, but not all proved to be statistically significant. In the PlanoScan group, there was significant increase only in the third-order coma along the x-axis ( $p = 0.044$ ), quadrafoil ( $p = 0.003$ ), secondary astigmatism on y-axis ( $p = 0.011$ ), spherical aberration ( $p < 0.001$ ), secondary coma ( $p = 0.043$ ), and pentafoil ( $p = 0.002$ ). In the Zyoptix group, a noticeable rise was likewise seen in the spherical aberration ( $p < 0.001$ ) including

third-order coma along the x-axis ( $p = 0.002$ ), trefoil with base on y-axis ( $p = 0.008$ ), quadrafoil ( $p = 0.004$ ), secondary astigmatism on y-axis ( $p = 0.020$ ), and secondary trefoil ( $p = 0.046$ ). Despite the statistically significant postoperative increase, no significant difference was noted in terms of comparing the coefficients (pre- and postoperative difference) of each Zernike mode between the two groups ( $p > 0.05$ ).

### DISCUSSION

In general, higher-order aberrations increase after LASIK. Several studies have shown that this can be due to factors related to the creation of a corneal flap, varied healing patterns, and possibly keratodynamic changes secondary to the effect of corneal tissue loss.<sup>1,6</sup>

In this study, however, no advantage can be attributed to wavefront-guided (Zyoptix) over standard (PlanoScan) LASIK in terms of decreasing higher-order aberrations. This exists despite the ability of wavefront-guided LASIK to identify higher-order aberrations and create an ablation pattern to correct them. Although it has been demonstrated that image quality after customized procedures is improved over that of standard procedures (based on decreased higher-order aberrations<sup>7</sup>), there are still significant aberrations induced after a wavefront-guided procedure that are neither expected nor predicted. It seems that it is not only related to corneal flap; equally important is the effect of corneal healing and tissue reorganization over time.

A trend has been observed showing increased wavefront error in all Zernike modes after undergoing standard and

wavefront-guided LASIK. Most noticeable is a significant increase in the induction of spherical and coma-like aberrations and secondary astigmatism postoperatively, which is very comparable with the results obtained by Pallikaris et al.<sup>1</sup> describing resulting higher-order aberrations following creation of a LASIK flap. This can be explained partly by the overall central flattening and peripheral steepening of the cornea following LASIK procedures, thereby altering the tension carried in the lamellae, the internal fluid pressure, interlamellar crosslinking, and the load imposed by the intraocular pressure.<sup>6</sup>

Several other factors contribute to the existence of these and other higher order aberrations. It has to be accepted that any procedure that circumferentially severs corneal lamellae will produce a biomechanical response that will alter corneal shape in a manner that cannot be predicted with wavefront analysis alone.<sup>9</sup> In fact, in the Third International Congress on Wavefront Sensing and Aberration-Free Refractive Corrections, an esteemed panel of experts voted corneal biomechanics the number one problem that limits the ability to achieve the “ideal” refractive correction.

In terms of the impact of the amount of higher-order aberrations on visual and refractive outcomes, there is no direct correlation that exists between them. As Applegate et al. pointed out, not all aberrations are “equal,” meaning Zernike modes when combined can interact to improve visual acuity despite the increase in total wavefront error.<sup>8</sup> Nevertheless, this study revealed no significant difference in terms of postoperative visual acuity, change in contrast sensitivity, and postop refraction between the two groups, independent of the total RMS obtained.

The number of subjects, however, posed as a limitation to this study. Also, patients included in the study were limited to those with low to moderate myopia and astigmatism, making the findings not applicable to those with high myopia.

On the other hand, the results were obtained at one year postop, which is the longest follow-up period to date compared with those in published articles that had postoperative follow-up period of 3 to 6 months.<sup>7,10</sup> Moreover, this study was able to account for inherent variables by using the same patients for both treatments.

Although wavefront-guided LASIK proves superior in treating patients with thin corneas and large scotopic pupil sizes<sup>3</sup>, as long as there is creation of a flap, correction of higher-order aberrations cannot be predicted. Experts are now, in fact, looking into the possibility of a two-staged procedure (create a flap first and analyze the induced aberrations before doing wavefront-guided ablation) or even customized procedures not involving the creation of a corneal flap, like in laser-assisted subepithelial keratectomy (LASEK) or photorefractive keratectomy (PRK), to solve this problem. Undoubtedly, much still has to be done, and the future bids optimistic for combined instrumentation for next-generation customized refractive surgery with the integration of topographic and wavefront analysis.

LASIK procedures for low to moderate myopia with low astigmatism, in general, increase overall higher-order aberrations (especially spherical and coma-like aberrations and secondary astigmatism). Zyoptix LASIK offers no advantage over PlanoScan LASIK in decreasing higher-order aberrations postoperatively and in obtaining better visual and refractive outcomes based on the treatment software used in this study. It is recommended that future studies have a larger sample base and include patients with high myopia and astigmatism to validate the findings in this study.

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